

EXPRESS MAIL NO. EL02940433 FORM PTO 1449 (modified)		ATTY DOCKET NO. 01-4004B		APPLICATION NO. NYA	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		APPLICANT PATRICK MANGAN PETERSON ET AL.		FILING DATE Concurrently herewith	
		GROUP 2642		U.S. PTO 10/090205 NYA	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)		
H		D. Stallard, "Talk'N'Travel: A Conversational System for Air Travel Planning," Applied Natural Language Processing ANLP 2000, Seattle, WA. ✓
H		E. Levin et al., "CHRONUS, The Next Generation," ARPA Workshop on Spoken Language Technology, 1995, Austin, TX. ✓
H	?	C.H. Lee et al., "On Natural Language Call Routing," Speech Communications, vol. 31, pp. 309-320, 2000.
H		H. Chang et al., "An Automated Performance Evaluation System For Speech Recognizers Used In The Telephone Network," International Conference On World Prosperity Through Communications, 1989.
H		D.S. Pallett et al., "1993 Benchmark Tests For The ARPA Spoken Language Program," ARPA Workshop on Spoken Language Technology, 1994, Princeton, NJ
H		K. Edwards et al., "Evaluating Commerical Speech Recognition and DTMF Technology for Automated Telephone Banking Services," IEEE Colloquium on Advances in Interactive Voice Technologies for Telecommunication Services, 1997. ✓

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1



Sheet 1 of 1

INFORMATION DISCLOSURE CITATION

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Atty. Docket No.
01-4004B

Serial No. 10/090,205

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APR 16 2003

Patrick M. Peterson et al.

Technology Center 2100

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H	AA	10/090,210	Pending	Patrick Peterson et al.	455		3/4/02
	AB	10/090,579	Pending	Patrick Peterson et al.	379		3/4/02
	AC	10/090,546	Pending	Katherine Godfrey et al.	710		3/4/02
	AD	10/090,369	Pending	Bernhard Suhm et al.	379		3/4/02
	AE	10/090,264	Pending	Patrick Peterson et al.	379		3/4/02
	AF	10/090,395	Pending	Patrick Peterson et al.	379		3/4/02
	AG	10/090,234	Pending	Daniel McCarthy et al.	379		3/4/02
	AH	10/090,522	Pending	Patrick Peterson et al.	379		3/4/02
H	AI	10/090,524	Pending	Marie Meter et al.	380		3/4/02

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H	BA	C.A. Kamm et al., Design and Evaluation of Spoken Dialog Systems, Proc. Of IEEE Workshop on Automatic Speech Recognition and Understanding, 1997 pp. 11-18.
	BB	Jeremy Peckham, A New Generation of Spoken Dialogue Systems: Results and Lessons from the Sundial Project, Proc. Of the 3 rd European Conference on Speech Communication and Technology, Berlin, September 1993, pp. 33-40.
	BC	S. Bennacef et al., Dialog in the RailTel Telephone-Based System, Intl. Conf. On Speech and Language Processing, Philadelphia 1996, pp. 550-553.
	BD	M. Walker et al., PARADISE: A Framework for Evaluating Spoken Dialogue Agents, Proc. Of the 35 th Annual Meeting of The ACL and 8 th European Conf. Of the European Chapter of the ACL, July 7-12, 1997, Madrid, pp. 271-280.
	BE	M. Cohen, Universal Commands for Telephony-Based Spoken Language Systems, Telephone Speech Standards Committee, Common Dialog Tasks Subcommittee, SIGCHI Bulletin, Vol. 32, No. 2, April 2000, pp. 25-29.
H	BF	Bruce Balentine and David P. Morgan, How to Build a Speech Recognition Application, Enterprise Integration Group, Inc., San Ramon, CA, 1999.

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11-23-04

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